



Country Operations Business Plan

December 2013

Viet Nam
2014–2016

Asian Development Bank

CURRENCY EQUIVALENTS

(as of 12 November 2013)

Currency unit	–	dong (D)
D1.00	=	\$0.000047
\$1.00	=	D21,085

ABBREVIATIONS

ADB	–	Asian Development Bank
ADF	–	Asian Development Fund
COBP	–	country operations business plan
CPS	–	country partnership strategy
GMS	–	Greater Mekong Subregion
MFF	–	multitranches financing facility
OCR	–	ordinary capital resources
PFR	–	periodic financing request
PSOD	–	Private Sector Operations Department
TA	–	technical assistance

NOTE

In this report, "\$" refers to US dollars.

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I. CONSISTENCY OF THE BUSINESS PLAN WITH THE COUNTRY PARTNERSHIP STRATEGY

1. The key strategic thrust and operating priorities of the country partnership strategy (CPS), 2012–2015 for Viet Nam, endorsed by the Asian Development Bank (ADB) Board of Directors on 9 August 2012, remain valid for the country operations business plan (COBP), 2014–2016. The COBP, 2014–2016 builds on the CPS thrust of strengthened support to the three pillars of inclusive growth, enhancing economic efficiency, and environmental sustainability; focused sector and subsector engagement; and consideration of thematic issues (environment, gender, governance, regional cooperation and integration, and private sector development). The COBP, 2014–2016 is also consistent with the priorities of the government's Socio-economic Development Plan, 2011–2015 that intersect with Strategy 2020.

II. INDICATIVE RESOURCE PARAMETERS

2. Viet Nam is classified as a category B developing member country with access to both Asian Development Fund (ADF) and ordinary capital resources (OCR). Viet Nam's ADF performance-based allocation (PBA) for 2013–2014 is \$740.23 million, and the indicative ADF allocation for 2015–2016 is \$758.74 million. In addition to its PBA, resources from the ADF subregional pool of about \$53 million for 2013–2014 would be used to support regional cooperation initiatives projects¹ in the Greater Mekong Subregion (GMS). Additional ADF for 2015–2016 GMS projects and ADF hard term may be used when available. The proposed ADF lending program, including firm national and regional projects, is \$418.24 million for 2014, \$630 million for 2015 and \$215 million for 2016.

3. The OCR lending program is consistent with the indicative annual OCR allocation, which is \$943 million for 2014, \$760 million for 2015, and \$856 million for 2016. The OCR program will support transport, energy, and water supply. The use of OCR in urban development, education, rural development and infrastructure, and policy-based lending—areas that have been traditionally funded by the ADF—will be explored. To enable the government to diversify development finance and improve its efficiency, ADB will continue to help implement the ongoing public investment restructuring, strengthen the legal and institutional framework for official development assistance management, review the current fund allocation mechanisms, and learn from other countries' experiences in transiting from concessional to less-concessional financing resources.

4. The proposed technical assistance (TA) program, including cofinancing, is \$8.4 million for 2014, \$7.9 million for 2015 and \$5.9 million for 2016. ADB will prioritize project preparatory, policy and advisory, and capacity development TA to support the ongoing reform agenda and economic restructuring in line with ADB's Viet Nam Country Knowledge Strategy, 2013–2015. ADB will use the Project Preparation and Start-up Support Facility, supported by a 2012 ADF loan, to supplement project preparatory TA resources and advance detailed design for loan projects.²

5. ADB and the government have adopted performance-based programming. Under this approach, ADB confirms support to new projects only when milestones for project preparation and portfolio performance of ongoing projects have met certain criteria. Since 2012 compliance

¹ The regional cooperation projects will receive two-thirds of ADF financing from the subregional pool and one-third from performance-based allocations of participating countries.

² ADB. 2012. *Report and Recommendation of the President to the Board of Directors: Proposed Technical Assistance Loan to the Socialist Republic of Viet Nam for Project Preparation and Start-Up Support Facility*. Manila.

with processing milestones has been assessed against a set of criteria agreed between ADB and the government, which includes a strict deadline for government approval of loan project outlines and a cut-off for ADB Board approval. Portfolio indicators include (i) sector performance in general, (ii) performance of relevant past and ongoing projects in particular, and (iii) executing agencies' project management capacity. In addition, the ongoing review of Viet Nam's project readiness filters will guide future programming exercises.

6. Cofinancing of \$1,231 million will be sought from other development partners during 2014–2016 through consultations during country programming missions and sector working group meetings. ADB's Private Sector Operations Department (PSOD) will continue to focus on nonsovereign infrastructure projects in energy, transport, and water and sanitation, particularly those developed under a public–private partnership arrangement or at the municipal level. PSOD will also seek opportunities in new areas such as agri-business, health, education, energy efficiency, and industrial parks. ADB's Trade Finance Program will continue to be an important part of PSOD's strategy in Viet Nam.

7. Indicators for monitoring the CPS are in the updated CPS results framework (Appendix 1). The 2013 country portfolio review mission developed sector monitoring matrixes to track results. To provide more up-to-date information, some indicators have been replaced, and some baseline data and targets have been adjusted based on the updated sector assessment summaries (Appendix 2). Results will be assessed during the 2014 country portfolio review mission.

III. SUMMARY OF CHANGES TO LENDING AND NONLENDING PROGRAMS

8. The adjustments to the lending programs include the following (Appendix 3):
- (i) **Four projects moved to 2014.** Integrated Rural Development Sector Project in the Central Provinces (additional); Ha Noi and Ho Chi Minh City Power Transmission Development Sector; GMS Kunming–Haiphong Transport Corridor Noi Bai–Lao Cai Highway (additional); and Strengthening Sustainable Urban Transport for Ha Noi Metro Line 3;
 - (ii) **One project advanced to 2014.** Improving Competitiveness Program (formerly Economic Management and Competitiveness Credit);
 - (iii) **One project dropped from 2014.** Energy Efficiency Program in the Industry Sector;
 - (iv) **Four projects moved to 2015.** Power Transmission Investment Program (MFF \$730 million) PFR 3; Urban Environment and Climate Change Adaptation; Microfinance Development Program, Subprogram 2; and Lao People's Democratic Republic–Viet Nam Power Interconnection (Hatxan–Pleiku);
 - (v) **One project added for 2015.** Integrated Rural Sector Development in the Central Provinces;
 - (vi) **Two projects moved to 2016 firm.** Ho Chi Minh City Third Ring Road (Tan Van–Nhon Trach), and State-Owned Enterprise Reform and Corporate Governance Facility (MFF \$630 million) PFR 3;
 - (vii) **One project moved to 2016 standby.** Second GMS Southern Coastal Corridor; and
 - (viii) **One project deferred beyond 2016.** GMS Ben Luc–Long Thanh Expressway (MFF \$636 million) PFR 2.
9. The 2014–2015 nonlending programs have also been adjusted (Appendix 3). The changes noted in the 2014–2015 lending and nonlending pipeline are consistent with the CPS thrust; its associated results framework matrix; and the sector assessment, strategy, and road maps. The 2013 lending and nonlending programs are in Appendix 4.

UPDATED COUNTRY PARTNERSHIP STRATEGY RESULTS FRAMEWORK
(Board endorsement of the CPS: 9 August 2012; COBP: December 2013)

Country Development Goals (based on the Socio-Economic Development Plan, 2011–2015)				Changes from CPS or Last COBP
1. GDP growth rate: 6.5%–7.0% per annum (ADB priority theme: economic growth) 2. Poverty rate: below 5% (ADB priority theme: social development) 3. Budget deficit: below 4.5% of GDP (ADB priority themes: governance and capacity development) 4. Poor pregnant women delivering in health facilities increased from 42% to 48% (ADB priority theme: gender equity) 5. Forested ground cover increased from 40.0% to 42.5% of total land area (ADB priority theme: environment)				
Sectors Selected by ADB				
Government Sector Objectives	Sector Outcomes that ADB Contributes to and Indicators	ADB Areas of Intervention	ADB Indicative Resource Allocation in the Next Pipeline and Thematic Priorities	Changes from CPS or Last COBP
1. Transport, and Information and Communication Technology (Core Area 1: Infrastructure, and 2: Regional cooperation and integration)				
Transport costs are minimized with the pursuit of a synchronous, rational, and gradual modernization program, while maintaining the existing transport infrastructure	Outcome: More efficient and safe transport of people and goods Indicators: Access to commune centers: Baseline: 149 communes without access (2011). Target: 0 communes without access (2015) Public transport's passenger share in Ha Noi and Ho Chi Minh City increased: Target: 15.0% (2015). Baseline: 11.0% in Ha Noi (2011), 10.4% in Ho Chi Minh City (2012) Road traffic accident fatalities reduced: Baseline: 10,950 (2011). Target: below 9,400 (2015)	Road transport: Construction and improvement of expressways and national and provincial roads Improvement of public transport in major urban areas Improvement of railways Sector management and reforms	\$802.58 million in 2013–2015, 22.5% of total CPS envelope and \$10 million cofinancing, of which: ENV – 26.2% RCI – 54.2% \$500 million approved in 2012, 41.1% of total CPS envelope, of which: GEN + EGM – 100%	Baseline and targets for all three indicators have been adjusted, based on available data. One project has been moved from 2013 to 2014. One project has been moved to 2015. Three projects have been moved to 2016, firm and standby.
2. Water Supply and Other Municipal Infrastructure and Services (Core Area 1: Infrastructure, and 2: Environment)				
The urban environment improves in cities and towns across the country, in particular benefitting poor households	Outcome: Increased access to basic urban services Indicators: Urban population using improved drinking water increased: Baseline: 75.6% (2011). Target: 90.0% (2015) Urban households using sewerage system rise: Baseline: 60% (2011). Target: 75% (2015)	Water supply and municipal infrastructure and services Urban development and urban environment in Greater Mekong Subregion economic corridors Provision and improvement of basic urban services	\$758 million in 2013–2015, 21.4% of total CPS envelope and \$10 million cofinancing, of which: ENV – 100% PSD – 42.6% RCI – 13.2% \$342 million approved in 2012, 28.1% of total CPS envelope, of which: ENV – 100% PSD – 62% RCI – 38%	Baseline and targets for both indicators have been adjusted, based on available data. One project has been moved to 2015.
3. Public Sector Management (Strategy 2020 Driver of Change 2: Good Governance and Capacity Development)				Newly added.

Sectors Selected by ADB				
Government Sector Objectives	Sector Outcomes that ADB Contributes to and Indicators	ADB Areas of Intervention	ADB Indicative Resource Allocation in the Next Pipeline and Thematic Priorities	Changes from CPS or Last COBP
Improved and reformed public institutions, with fully functioning civil service, and improved efficiency and accountability of restructured state-owned enterprises	<p>Outcome: Merit-based human resource management information system introduced</p> <p>Indicators: Return on assets of selected state-owned enterprises increased: Baseline: averaged at 10% (2010). Target: by 15% (2015)</p>	<p>Experiment with functional analysis of job descriptions and qualification standards in at least three selected agencies, subsequently develop a standardized approach</p> <p>Integrated human resource management information system</p> <p>Corporate and financial restructuring of selected state-owned enterprises</p>	<p>\$595.5 million in 2013–2015, 16.8% of total CPS envelope, of which: ENV – 26.2% PSD – 100% RCI – 54.2%</p> <p>\$57.9 million approved in 2012, 4.8% of total CPS envelope, of which: GEN + EGM – 34.6% PSD – 34.6%</p>	One project has been moved to 2014 and one has been moved to 2016.
4. Energy (Core Area 1: Infrastructure, 2: Environment, and 3: Regional Cooperation and Integration)				
Power is provided to industrial, commercial, and residential consumers in a reliable, and economically and environmentally sustainable manner	<p>Outcome: Industrial, commercial, and residential consumers in Viet Nam use more electricity more efficiently</p> <p>Indicators: Per capita electricity consumption increases: Baseline: 985 kilowatt-hours (2010). Target: 1,720 kilowatt-hours (2015)</p> <p>Electricity intensity measured by the elasticity of electricity consumption to GDP growth is reduced: Baseline: 2.0. Target: 1.5</p>	<p>Energy</p> <p>Electricity generation Electricity transmission Oil and gas transmission Energy efficiency and conservation Energy sector development</p>	<p>\$509 million in 2013–2015, 14.4% of total CPS envelope and \$263 million cofinancing, of which: ENV – 35.4% PSD – 49.1% RCI – 15.6%</p> <p>\$110.2 million approved in 2012, 9.1% of total CPS envelope, of which: PSD – 100%</p>	Two projects have been moved from 2013 to 2014. Energy Efficiency Program in the Industry Sector has been dropped from 2014. One project has been moved to 2015.
5. Agriculture and Natural Resources (Core Area 1: Infrastructure)				
A modernized, sustainable agriculture sector with a market orientation, supports continuing structural transformation and international integration of the economy	<p>Outcome: Sustained agricultural productivity growth; and natural resources sustainably managed with climate change resilience enhanced</p> <p>Indicators: Forested ground cover increased: Baseline: 40.0% (2011). Target: 42.5% of total land area (2015)</p> <p>Agriculture-related growth increased by: Baseline: 20.0% (2010). Target: 2.6% annually</p>	<p>Irrigation system management and drainage improvement Rural infrastructure Agriculture sector development and policies Livelihood programs and employment generation Sustainable land management</p>	<p>\$405.7 million in 2013–2015, 11.5% of total CPS envelope and \$10 million cofinancing, of which: ENV – 61.6%</p> <p>\$119 million approved in 2012, 9.8% of total CPS envelope, of which: ENV – 100% GEN + EGM – 62.2% RCI – 37.8%</p>	One project has been moved from 2013 to 2014. Integrated Rural Sector Development in the Central Provinces has been added in 2015.

Sectors Selected by ADB				
Government Sector Objectives	Sector Outcomes that ADB Contributes to and Indicators	ADB Areas of Intervention	ADB Indicative Resource Allocation in the Next Pipeline and Thematic Priorities	Changes from CPS or Last COBP
6. Finance (Strategy 2020 Core Area 4: Finance Sector Development)				
Strong and sustainable financial markets are capable of promoting and/or supporting investments, business development, and access to microcredit	<p>Outcome 1: Nonbank financial markets provide an increased share of financing to support domestic investment</p> <p>Indicators: The share of nonbank finance sector assets in total finance sector assets increased: Baseline: 15% (2010). Target: 25%–30% (2015)</p> <p>Outcome 2: Poor and low-income households have increased access to sustainable and affordable microfinance</p> <p>Indicators: Number of microfinance borrowers increased: Baseline: 12.5 million (2010). Target: by 10% (2014)</p> <p>Number of savings accounts increased: Baseline: 9.7 million (2010). Target: by 10% (2014)</p>	<p>Finance</p> <p>Banking system: Money and capital market development Nonsovereign operations in trade finance Microfinance Microfinance institutional development Finance sector policies and strategies strengthened</p>	<p>\$195 million in 2013–2015, 5.5% of total CPS envelope and \$10 million cofinancing, of which: PSD – 48.7%</p> <p>\$40 million approved in 2012, 3.3% of total CPS envelope, of which: GEN + EGM – 100%</p>	One project has been moved to 2015.
7. Education (Core Area 5: Education)				
The quality of education, training, and human resources is improved and enhanced	<p>Outcome: Knowledge and skills of graduates from secondary and higher education and skills development meet the needs of a modernizing labor market and society</p> <p>Indicators: The Ministry of Education and Training registers in the Programme for International Student Assessment by 2015</p> <p>Participation rate in the labor market of upper secondary school graduates increases: Baseline: 15% (SY2010/11). Target: 20% (SY2014/15)</p> <p>Graduates in 15 occupational training programs increase: Baseline: SY2010/11. Target: by 20% (SY2014/15)</p>	<p>Education</p> <p>Lower secondary education Upper secondary education Technical education and vocational skills training Higher education</p>	<p>\$150 million in 2013–2015, 4.2% of total CPS envelope, of which: GEN + EGM – 100%</p> <p>\$90 million approved in 2012, 7.4% of total CPS envelope, of which: GEN + EGM – 100%</p>	There are no changes from the last COBP.

ADB = Asian Development Bank, COBP = country operations business plan, CPS = country partnership strategy, EGM = effective gender mainstreaming, ENV = environmental sustainability, GDP = gross domestic product, GEN = gender equity, PSD = private sector development, RCI = regional cooperation and integration, SY = school year.

Source: Asian Development Bank.

LIST OF LINKED DOCUMENTS

<http://www.adb.org/Documents/COBP/?id=VIE-2014>

1. Sector Assessment (Summary): Agriculture, Natural Resources and Environment
2. Sector Assessment (Summary): Public Sector Management
3. Sector Assessment (Summary): Transport
4. Sector Assessment (Summary): Water Supply and Other Municipal Infrastructure and Services

INDICATIVE ASSISTANCE PIPELINE

Table A3.1: Lending Products, 2014–2016

Project/Program Name	Sector	Targeting Classification	Primary Theme	Division	Year of PPTA/PDA	Cost (\$ million)						
						Total	OCR	ADB		Total	Gov't	Co-finance ^a
								Loans	Grants			
2014 Firm												
Integrated Rural Development Sector Project in the Central Provinces (additional)	ANR	GI	GRO/CAD /GOV	VRM	2013	90.66	0.00	85.66	0.00	85.66	5.00	0.00
Improving Competitiveness Program [formerly Business Environment Reform/Economic Management and Competitiveness Credit]	PSM	GI	GRO/SOD /GOV/PSD	VRM		275.47	185.47	90.00	0.00	275.47	0.00	0.00
State-Owned Enterprise Reform and Corporate Governance Facility (MFF \$630 million) PFR 2	PSM	GI	GRO/GOV /PSD	SEPF		335.00	310.00	10.00	0.00	320.00	15.00	0.00
Water Sector Investment Program (MFF \$1.0 billion) PFR 3	WSM	GI	ENV/CAD /PSD	SEUW	2011	180.72	152.53	0.00	0.00	152.53	28.19	0.00
Ho Chi Minh City Third Ring Road TA Loan	TCT	TI	GRO	SETC	2010	13.72	0.00	12.58	0.00	12.58	1.14	0.00
Second Lower Secondary Education for the Most Disadvantaged Areas	EDU	TI	GRO/CAD /GEN	SEHS	2012	88.80	0.00	80.00	0.00	80.00	8.80	0.00
Ha Noi and Ho Chi Minh City Power Transmission Development Sector	ENE	GI	GRO/ENV	SEEN	2012	441.00	180.00	0.00	0.00	180.00	100.00	161.00
Strengthening Sustainable Urban Transport for Ha Noi Metro Line 3	TCT	GI	GRO/ENV /SOD	SETC	2011	65.00	0.00	10.00	0.00	10.00	6.00	49.00
Improvement of Road Safety and Climate Resilience on National Highways	TCT	GI	GRO/SOD /ENV	SETC	2011	145.00	0.00	50.00	0.00	50.00	10.00	85.00
Total						1,635.37	828.00	338.24	0.00	1,166.24	174.13	295.00

Project/Program Name	Sector	Targeting Classification	Primary Theme	Division	Year of PPTA/PDA	Cost (\$ million)						
						Total	ADB			Total	Gov't	Co-finance ^a
							OCR	ADF				
							Loans	Grants				
2014 Firm Regional												
GMS Kunming-Haiphong Transport Corridor-Noi Bai-Lao Cai (additional)	TCT	GI	GRO	SETC		180.00	115.00	30.00	0.00	145.00	35.00	0.00
GMS Tourism Infrastructure for Inclusive Growth ^b	MUL	GI	RCI/GRO /ENV	TRM	2012	54.00	0.00	50.00	0.00	50.00	4.00	0.00
Total						234.00	115.00	80.00	0.00	195.00	39.00	0.00
2014 Standby												
Microfinance Development Program, Suprogram 2	FIN	GI	GRO/GOV /CAD	SEPF	2010	50.00	0.00	50.00	0.00	50.00	0.00	0.00
River Basin Water Resources Management and Development	ANR	GI	GRO/ENV /CAD/GOV	SEER	2012	70.00	0.00	50.00	0.00	50.00	10.00	10.00
Total						120.00	0.00	100.00	0.00	100.00	10.00	10.00
2015 Firm												
Sustainable Rural Infrastructure Development in the Northern Mountainous Provinces (additional)	ANR	TI	GRO/ENV /CAD	VRM	2014	75.00	0.00	70.00	0.00	70.00	5.00	0.00
Integrated Rural Sector Development in the Central Provinces	ANR	GI	GRO/CAD /GOV	SEER	2014	75.00	0.00	70.00	0.00	70.00	5.00	0.00
River Basin Water Resources Management and Development	ANR	GI	GRO/ENV /CAD/GOV	SEER	2012	70.00	0.00	50.00	0.00	50.00	10.00	10.00
Power Transmission Investment Program (MFF \$730 million) PFR 3	ENE	GI	GRO/PSD	SEEN	2009	430.00	250.00	0.00	0.00	250.00	20.00	160.00
Water Resources Development in the Red River Basin	ANR	GI	ENV/GRO	SEER	2011	55.00	0.00	50.00	0.00	50.00	5.00	0.00
Second Secondary Education Sector Development	EDU	GI	SOD/CAD /GEN	SEHS	2013	77.00	0.00	70.00	0.00	70.00	7.00	0.00

Project/Program Name	Sector	Targeting Classification	Primary Theme	Division	Year of PPTA/PDA	Cost (\$ million)						
						Total	ADB			Total	Gov't	Co-finance ^a
							OCR	ADF				
							Loans	Grants				
Ha Noi Metro Rail System Project (Line 3: Nhon–Ha Noi Station Section) (additional)	TCT	GI	GRO	SETC	2006	271.00	140.00	0.00	0.00	140.00	0.00	131.00
Water Sector Investment Program (MFF \$1.0 billion) PFR 4	WSM	GI	ENV/PSD /CAD	SEUW	2011	185.81	170.81	0.00	0.00	170.81	15.00	0.00
Urban Environment and Climate Change Adaptation	WSM	GI	ENV/GOV /CAD/GRO	SEUW	2012	140.00	100.00	0.00	0.00	100.00	10.00	30.00
Second Secondary Cities Development (Ha Giang, Hue, Vinh Yen)	WSM	GI	GRO/ENV /CAD	SEUW	2014	145.00	50.00	90.00	0.00	140.00	5.00	0.00
Microfinance Development Program, Subprogram 2	FIN	GI	GRO/GOV /CAD	SEPF	2010	50.00	0.00	50.00	0.00	50.00	0.00	0.00
Financial Sector Deepening Program, Subprogram 2	FIN	GI	GRO/GOV /CAD/PSD	SEPF	2014	50.00	0.00	50.00	0.00	50.00	0.00	0.00
Total						1,623.81	710.81	500.00	0.00	1,210.81	82.00	331.00
2015 Firm Regional												
Second GMS Corridor Towns Development ^b	WSM	GI	RCI/GRO /CAD/ENV	SEUW	2013	105.00	0.00	100.00	0.00	100.00	5.00	0.00
Lao People's Democratic Republic–Viet Nam Power Interconnection (Hatxan–Pleiku)	ENE	GI	GRO/RCI	SEEN	2008	95.49	49.19	30.00	0.00	79.19	16.30	0.00
Total						200.49	49.19	130.00	0.00	179.19	21.30	0.00
2016 Firm												
Training Sector Development Program	EDU	GI	GRO/SOD /CAD	SEHS	2014	75.00	0.00	70.00	0.00	70.00	5.00	0.00
Support to Border Areas Development	WSM	TI	GRO/CAD /ENV	SEUW	2015	80.00	0.00	70.00	0.00	70.00	10.00	0.00
Ho Chi Minh City Metro Line 5 (MFF \$675 million) PFR 1	TCT	GI	GRO	SETC	2014	705.00	150.00	0.00	0.00	150.00	100.00	455.00
State-Owned Enterprise Reform and Corporate Governance Facility (MFF \$630 million) PFR 3	PSM	GI	GRO/GOV /PSD	SEPF	2011	190.00	170.00	10.00	0.00	180.00	10.00	0.00

Project/Program Name	Sector	Targeting Classification	Primary Theme	Division	Year of PPTA/PDA	Cost (\$ million)						
						Total	ADB			Total	Gov't	Co-finance ^a
							OCR	ADF				
							Loans	Grants				
Ho Chi Minh City Third Ring Road (Tan Van–Nhon Trach)	TCT	TI	GRO	SETC	2010	460.00	287.00	0.00	0.00	287.00	23.00	150.00
Power Transmission Investment Program (MFF \$730 million) PFR 3	ENE	GI	GRO/PSD	SEEN	2009	269.00	249.00	0.00	0.00	249.00	20.00	0.00
Health Human Resources Sector Development Program	PSM	TI	GRO/CAD	SEHS	2015	75.00	0.00	65.00	0.00	65.00	10.00	0.00
Total						1,854.00	856.00	215.00	0.00	1,071.00	178.00	605.00
2016 Standby												
Second GMS Southern Coastal Corridor	TCT	GI	GRO/RCI	SETC	2011	377.00	181.00	0.00	0.00	181.00	20.60	175.40
Water Sector Investment Program (MFF \$1.0 billion) PFR 5	WSM	GI	ENV/PSD /CAD	SEUW	2011	307.50	277.50	0.00	0.00	277.50	30.00	0.00
Total						684.50	458.50	0.00	0.00	458.50	50.60	175.40

ADB = Asian Development Bank; ADF = Asian Development Fund; ANR = agriculture and natural resources; CAD = capacity development; EDU = education; ENE = energy; ENV = environmental sustainability; FIN = finance; GEN = gender equity; GI = general intervention; GMS = Greater Mekong Subregion; GOV = governance; Gov't = government; GRO = economic growth; IND = industry and trade; MFF = multitranches financing facility; MUL = multisector; OCR = ordinary capital resources; PDA = project design advance; PFR = periodic financing request; PPTA = project preparatory technical assistance; PSD = private sector development; PSM = public sector management; RCI = regional cooperation and integration; SEEN = Southeast Asia Energy Division; SEER = Southeast Asia Environment, Natural Resources, and Agriculture Division; SEHS = Southeast Asia Human and Social Development Division; SEPF = Southeast Asia Public Management, Financial Sector, and Trade Division; SETC = Southeast Asia Transport and Communications Division; SEUW = Southeast Asia Urban Development and Water Division; SOD = social development; TA = technical assistance; TCT = transport and information and communication technology; TI = targeted intervention; TRM = Thailand Resident Mission; VRM = Viet Nam Resident Mission; WSM = water supply and other municipal infrastructure and services.

^a \$49 million from the Clean Technology Fund for Strengthening Sustainable Urban Transport for Ha Noi Metro Line 3; \$131 million from Agence Française de Développement for Ha Noi Metro Rail System Project (Line 3: Nhon-Ha Noi Station Section) (additional) in 2015; and \$455 million from the European Investment Bank and Spain for Ho Chi Minh City Metro Line 5 in 2016. Sources for other projects are yet to be determined.

^b The PPTA will be funded as regional PPTA.

Source: Asian Development Bank.

Table A3.2: Nonlending Products and Services, 2014–2016

Assistance Name	Sector	Division	Assistance Type	Sources of Funding				
				ADB		Others		Total (\$'000)
				Source	Amount (\$'000)	Source	Amount (\$'000)	
2014								
Sustainable Rural Infrastructure Development in the Northern Mountainous Provinces (additional)	ANR	VRM	PPTA	TASF	200.00		0.00	200.00
Sustainable Urban Development in Viet Nam: Green Cities	WSM	VRM	CDTA		0.00	TBD	2,000.00	2,000.00
Safeguards Implementation and Monitoring in the Energy Sector	ENE	SEEN	CDTA	TASF	300.00		0.00	300.00
Integrated Rural Sector Development for Central Provinces	ANR	VRM	PPTA		0.00	TF	1,200.00	1,200.00
Preparing for Financial Sector Deepening Program, Subprogram 2	FIN	SEPF	PPTA	TASF	600.00		0.00	600.00
Support to Improve Portfolio Performance and Aid Effectiveness	PSM	VRM	CDTA	TASF	600.00		0.00	600.00
Ha Noi and Ho Chi Minh City Power Transmission Development Sector (additional)	ENE	SEEN	PPTA	TASF	200.00		0.00	200.00
Ho Chi Minh City Metro Line 5	TCT	SETC	PPTA	TASF	400.00		0.00	400.00
Support to Border Areas Development	WSM	SEUW	PPTA		0.00	TF	800.00	800.00
Second Secondary Cities Development (Ha Giang, Hue, Vinh Yen)	WSM	SEUW	PPTA	TASF	800.00		0.00	800.00
Improving Performance of Water Supply Sector (Supplementary)	WSM	SEUW	CDTA		0.00	WFPPF	500.00	500.00
Training Sector Development Program	EDU	SEHS	PPTA	TASF	800.00		0.00	800.00
Total					3,900.00		4,500.00	8,400.00
2015								
Health Human Resources Sector Development Program	PSM	SEHS	PPTA	TASF	2,000.00		0.00	2,000.00
Power Development	ENE	SEEN	PPTA	TASF	1,900.00		0.00	1,900.00
Sustainable Urban Development in Viet Nam: Green Cities	WSM	VRM	CDTA		0.00	KOICA	2,000.00	2,000.00
Industrial Pollution Management	WSM	SEUW	PPTA		0.00	TF	2,000.00	2,000.00
Total					3,900.00		4,000.00	7,900.00

Assistance Name	Sector	Division	Assistance Type	Sources of Funding				Total (\$'000)
				ADB		Others		
				Source	Amount (\$'000)	Source	Amount (\$'000)	
2015 Firm Regional								
Second GMS Tourism Infrastructure for Inclusive Growth	MUL	GI	R-PPTA	TASF	1,400.00		0.00	1,400.00
Total					1,400.00		0.00	1,400.00
2016								
Rural Sector Development	ANR	SEER	PPTA	TASF	2,000.00		0.00	2,000.00
Transport Development	TCT	SETC	PPTA	TASF	1,900.00		0.00	1,900.00
Third Secondary Cities Development	WSM	SEUW	PPTA		0.00	TF	2,000.00	2,000.00
Total					3,900.00		2,000.00	5,900.00

ADB = Asian Development Bank; ANR = agriculture and natural resources; CDTA = capacity development technical assistance; EDU = education; ENE = energy; FIN = finance; GI = general intervention; IND = industry and trade; MUL= multisector; KOICA = Korea International Cooperation Agency; PPTA = project preparatory technical assistance; PSM = public sector management; R-PPTA = regional project preparatory technical assistance; SEEN = Southeast Asia Energy Division; SEER = Southeast Asia Environment, Natural Resources, and Agriculture Division; SEHS = Southeast Asia Human and Social Development Division; SEPF = Southeast Asia Public Management, Financial Sector, and Trade Division; SETC = Southeast Asia Transport and Communications Division; SEUW = Southeast Asia Urban Development and Water Division; TASF = Technical Assistance Special Fund; TBD = to be determined; TCT = transport and information and communication technology; TF = trust fund; VRM = Viet Nam Resident Mission; WFPF = Water Financing Partnership Facility; WSM = water supply and other municipal infrastructure and services.

Source: Asian Development Bank.

ASSISTANCE PROGRAM FOR THE CURRENT YEAR

Table A4.1: Lending Products, 2013

Project/Program Name	Sector	Targeting Classification	Primary Theme	Division	Year of PPTA / PDA	Cost (\$ million)						
						Total	OCR	ADB		Total	Gov't	Co-finance ^a
								Loans	Grants			
Firm												
Financial Sector Deepening Program, Subprogram 1	FIN	GI	GRO/GOV /CAD/PSD	SEPF	2011	45.00	0.00	45.00	0.00	45.00	0.00	0.00
Sustainable Urban Transport for Ho Chi Minh City MRT Line 2	TCT	GI	GRO/ENV /SOD	SETC	2011	65.00	0.00	10.00	0.00	10.00	6.05	48.95
GMS Southern Coastal Corridor (additional)	TCT	GI	RCI/GRO	SETC		37.00	0.00	25.00	0.00	25.00	0.00	12.00
Productive Rural Infrastructure Development in the Central Highlands	ANR	TI	GRO/ENV	SEER	2011	85.00	0.00	80.00	0.00	80.00	5.00	0.00
Second Health Care in the Central Highlands	HSP	TI-G	SOD/GOV /CAD/GEN	SEHS	2011	76.60	0.00	70.00	0.00	70.00	6.60	0.00
Central Mekong Delta Connectivity	TCT	GI	GRO/RCI	SETC	2007	860.00	410.00	0.00	0.00	410.00	56.00	394.00
Secondary Cities Development [formerly Comprehensive Socioeconomic Urban Development (Buon Ma Thuot, Ha Tinh, and Tam Ky)]	WSM	GI	GRO/ENV	SEUW	2011	121.71	0.00	95.00	0.00	95.00	26.71	0.00
Second SME Development Program, Subprogram 2	FIN	GI	GRO/SOD	SEPF	2011	50.00	0.00	50.00	0.00	50.00	0.00	0.00
Renewable Energy Development and Network Expansion and Rehabilitation for Remote Communes Sector (additional financing)	ENE	TI	GRO	SEEN		3.00	0.00	0.00	0.00	0.00	0.00	3.00
Total						1,343.31	410.00	375.00	0.00	785.00	100.36	457.95

ADB = Asian Development Bank; ADF = Asian Development Fund; ANR = agriculture and natural resources; CAD = capacity development; ENE = energy; ENV = environmental sustainability; FIN = finance; GEN = gender equity; GI = general intervention; GMS = Greater Mekong Subregion; GOV = governance; Gov't = government; GRO = economic growth; HSP = health and social protection; MRT = mass rapid transit; OCR = ordinary capital resources; PDA = project design advance;

PPTA = project preparatory technical assistance; PSD = private sector development; RCI = regional cooperation and integration; SEEN = Southeast Asia Energy Division; SEER = Southeast Asia Environment, Natural Resources, and Agriculture Division; SEHS = Southeast Asia Human and Social Development Division; SEPF = Southeast Asia Public Management, Financial Sector, and Trade Division; SETC = Southeast Asia Transport and Communications Division; SEUW = Southeast Asia Urban Development and Water Division; SME = small and medium-sized enterprise; SOD = social development; TCT = transport and information and communication technology; TI = targeted intervention; TI-G = targeted intervention—geographic dimensions of inclusive growth; WSM = water supply and other municipal infrastructure and services.

^a \$48.95 million from the Clean Technology Fund for Sustainable Urban Transport for Ho Chi Minh City MRT Line 2; \$12 million from Australia for GMS Southern Coastal Corridor (additional); \$134 million from Australia, and \$260 million from Export–Import Bank of Korea for Central Mekong Delta Connectivity.

Source: Asian Development Bank.

Table A4.2: Nonlending Products and Services, 2013

Assistance Name	Sector	Division	Assistance Type	Sources of Funding				Total (\$'000)
				ADB		Others		
				Source	Amount (\$'000)	Source	Amount (\$'000)	
Firm								
Support for State-Owned Enterprise Reform and Cooperate Governance Facility	FIN	SEPF	CDTA	TASF	800.00		0.00	800.00
Improving the Capacity for Implementing Payment for Forest Ecosystem Services In Viet Nam [formerly Capacity Building for Integrated Ecosystem Services Management]	ANR	VRM	CDTA		0.00	TF	1,500.00	1,500.00
Partnership for Market Readiness: Developing the Market Readiness Proposal	ENE	SEEN	CDTA		0.00	WB PMR Fund	315.00	315.00
Improving Competitiveness Program ^a [formerly Business Environment Reform]	PSM	VRM	CDTA	TASF	600.000		0.00	600.00
Capacity Building for River Basin Water Resources Planning (Supplementary)	ANR	SEER	CDTA		0.00	WFPP	200.00	200.00
Strengthening Microfinance Operation and Supervision	FIN	SEPF	CDTA		0.00	JFPR	1,000.00	1,000.00
Strategic Development Financing ^a	PSM	VRM	CDTA	TASF	800.00		0.00	800.00
Integrated Rural Development Sector Project in the Central Provinces (additional)	ANR	SEER	PPTA	TASF	200.00		0.00	200.00
Microfinance Development Program, Subprogram 2	FIN	SEPF	PATA	TASF	600.00		0.00	600.00
Enhancing Financial Stability	PSM	VRM	CDTA	TASF	225.00		0.00	225.00
Improving Performance of Water Supply Sector	WSM	SEUW	CDTA		0.00	WFPP	1,100.00	1,100.00
Support for Implementation of the Plan for Development of Civil Servants and Public Service Employees	PSM	VRM	CDTA		0.00	TF	1,000.00	1,000.00
Second Secondary Education Sector Development	EDU	SEHS	PPTA		0.00	TF	1,000.00	1,000.00
Water Resources Development in Mid- and Northeast Red River Delta (Supplementary)	ANR	SEER	PPTA			WFPP	450.00	450.00
Ha Noi Metropolitan Railway Line No. 3 (section Ha Noi Railway Station–Hoang Mai) [formerly Ha Noi Urban LRT Line 3 Extension]	TCT	SETC	PPTA	TASF	1,500.00		0.00	1,500.00
Total					4,725.00		6,565.00	11,290.00

Assistance Name	Sector	Division	Assistance Type	Sources of Funding				
				ADB		Others		Total (\$'000)
				Source	Amount (\$'000)	Source	Amount (\$'000)	
Regional								
Second Greater Mekong Subregion Corridor Towns Development	WSM	SEUW	PPTA	TASF	1,500.00	PRC-PR	500.00	2,000.00
Total					1,500.00		500.00	2,000.00

ADB = Asian Development Bank; ANR = agriculture and natural resources; CDTA = capacity development technical assistance; EDU = education; ENE = energy; FIN = finance; JFPR = Japan Fund for Poverty Reduction, LRT = light rail transit; PATA = policy and advisory technical assistance; PRC-PR = People Republic of China Poverty Reduction Fund; PPTA = project preparatory technical assistance; PSM = public sector management; SEEN = Southeast Asia Energy Division; SEER = Southeast Asia Environment, Natural Resources, and Agriculture Division; SEHS = Southeast Asia Human and Social Development Division; SEPF = Southeast Asia Public Management, Financial Sector, and Trade Division; SETC = Southeast Asia Transport and Communications Division; SEUW = Southeast Asia Urban Development and Water Division; TASF = Technical Assistance Special Fund; TCT = transport and information and communication technology; TF = trust fund; VRM = Viet Nam Resident Mission; WB PMR = World Bank Partnership for Market Readiness Fund; WFPF = Water Financing Partnership Facility; WSM = water supply and other municipal infrastructure and services.

^a Subject to the availability of funds.

Source: Asian Development Bank.